



#16 1.28(c)/M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 08/794,516 02/25/2013 DALLEN 00000006 6066219  
01 FC:1599 5030.00 OP

Applicant : Jorgen Schulz-Harder

Filed : 02-03-1997

Group Art Unit: 1774

Docket No. : A-5720

Customer No. : 021884

Title : PROCESS FOR PRODUCING A CERAMIC SUBSTRATE AND A CERAMIC SUBSTRATE

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DEFICIENCY PAYMENT IN ACCORDANCE WITH 37 CFR 1.28

United States Patent and Trademark Office  
PO Box 371611  
Pittsburgh, PA 15250-1611

Sir:

Small entity status was established in good faith in the above-referenced patent upon the filing of the application on February 3, 1997. The small entity status continued through the prosecution and issuance of the above-referenced patent. However, an assignment was made on or about November 2002, changing the status of the above-referenced patent. As such, large entity fees are due.

Adjustment date: 03/21/2013 CKHLOK  
02/25/2013 DALLEN 00000006 6066219  
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In accordance with 37 CFR 1.28(c) Applicant respectfully requests that the deficiency payment in the amount of \$5,030.00 be accepted and that the status of the present patent be

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changed from small entity status to large entity status. With this in mind, Applicant submits separate itemized requirements as defined in 37 CFR 1.28(c)(1) and (c)(2).

With regard to 37 CFR 1.28(c)(2), the paid fee relates to the fourth maintenance fee paid August 18, 2003; the eighth maintenance fee paid November 19, 2007 and the twelfth maintenance fee paid September 22, 2011. The total fee paid was \$3,680.00. As the large entity fee is currently \$8,710.00, a deficiency payment in the amount of \$5,030.00 is due. As such, Applicant encloses payment in the amount of \$5,030.00. An itemization of the deficiency payment as required in accordance with 37 CFR 1.28(c) is attached.

If additional fees are required, the Commissioner is authorized to charge the additional fees to Deposit Account 01-2221. If the Office has any questions, or if additional information is required, the Office is urged to contact the undersigned at (703) 920-1122.

Respectfully submitted,



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ITEMIZATION OF DEFICIENCY PAYMENT

- 1) 4<sup>th</sup> Maintenance fee
  - a) Current Large Entity Fee - \$1130.00
  - b) Fee Paid 8/18/2003 - \$445.00
  - c) Deficiency owed - \$685.00
  
- 2) 8<sup>th</sup> Maintenance fee
  - a) Current Large Entity Fee - \$2850.00
  - b) Fee Paid 11/19/2007 - \$1180.00
  - c) Deficiency owed - \$1670.00
  
- 3) 12<sup>th</sup> Maintenance fee
  - a) Current Large Entity Fee - \$4730.00
  - b) Fee Paid 9/22/2011 - \$2055.00
  - c) Deficiency owed - \$2675.00
  
- 4) Total Deficiency Paid - \$5030.00

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